

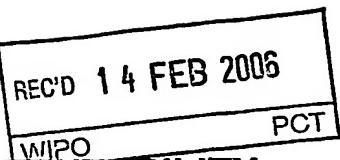
# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

### (PCT Article 36 and Rule 70)



Applicant's or agent's file reference 63263B	<b>FOR FURTHER ACTION</b>	
	See Form PCT/A/416	
International application No. PCT/US2004/032535	International filing date (day/month/year) 04.10.2004	Priority date (day/month/year) 10.10.2003
International Patent Classification (IPC) or national classification and IPC B01J29/00, C08K9/08, C08K9/00		
Applicant DOW GLOBAL TECHNOLOGIES INC. et al.		

<ol style="list-style-type: none"> <li>1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</li> <li>2. This REPORT consists of a total of 4 sheets, including this cover sheet.</li> <li>3. This report is also accompanied by ANNEXES, comprising:           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> (<i>sent to the applicant and to the International Bureau</i>) a total of sheets, as follows:               <ul style="list-style-type: none"> <li><input type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</li> <li><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</li> </ul> </li> <li>b. <input type="checkbox"/> (<i>sent to the International Bureau only</i>) a total of (indicate type and number of electronic carrier(s)) , containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</li> </ol> </li> </ol>	
<ol style="list-style-type: none"> <li>4. This report contains indications relating to the following items:           <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Box No. I Basis of the opinion</li> <li><input type="checkbox"/> Box No. II Priority</li> <li><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li><input type="checkbox"/> Box No. IV Lack of unity of invention</li> <li><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li><input type="checkbox"/> Box No. VI Certain documents cited</li> <li><input type="checkbox"/> Box No. VII Certain defects in the international application</li> <li><input type="checkbox"/> Box No. VIII Certain observations on the international application</li> </ul> </li> </ol>	

Date of submission of the demand  15.07.2005	Date of completion of this report  13.02.2006
Name and mailing address of the International preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized Officer  Engel, S Telephone No. +49 89 2399-



# **INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY**

International application No.  
PCT/US2004/032535

**Box No. I Basis of the report**

- With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
    - This report is based on translations from the original language into the following language, which is the language of a translation furnished for the purposes of:
      - international search (under Rules 12.3 and 23.1(b))
      - publication of the international application (under Rule 12.4)
      - international preliminary examination (under Rules 55.2 and/or 55.3)
  - With regard to the elements\* of the international application, this report is based on (replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report):

**Description, Pages**

1-9 as originally filed

## **Claims, Numbers**

1-20 as originally filed

## **Drawings, Sheets**

1/6-6/6 as originally filed

- a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing
  - 3.  The amendments have resulted in the cancellation of:
    - the description, pages
    - the claims, Nos.
    - the drawings, sheets/figs
    - the sequence listing (*specify*):
    - any table(s) related to sequence listing (*specify*):
  - 4.  This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
    - the description, pages
    - the claims, Nos.
    - the drawings, sheets/figs
    - the sequence listing (*specify*):
    - any table(s) related to sequence listing (*specify*):

\* If item 4 applies, some or all of these sheets may be marked "superseded."

**INTERNATIONAL PRELIMINARY REPORT  
ON PATENTABILITY**

International application No.  
PCT/US2004/032535

**Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes: Claims	1,6
	No: Claims	
Inventive step (IS)	Yes: Claims	1,6
	No: Claims	
Industrial applicability (IA)	Yes: Claims	1-20
	No: Claims	

**2. Citations and explanations (Rule 70.7):**

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
REPORT ON PATENTABILITY  
(SEPARATE SHEET)**

International application No.

PCT/US2004/032535

**Re Item V**

**Reasoned statement with regard to novelty, inventive step or industrial applicability;  
citations and explanations supporting such statement**

Reference is made to the following documents:

- D1: WO 97/31057 A (THE DOW CHEMICAL COMPANY) 28 August 1997 (1997-08-28)  
D2: US-A-5 583 082 (PINNAVAIA ET AL) 10 December 1996 (1996-12-10)

**1 Novelty (Article 33(2) PCT)**

Present Main Claims 1 and 6 describe a composite made of exfoliated clay in a carbonised matrix and a related method.

D1 discloses exfoliated clay in a polymer matrix which may be annealed but not carbonised (cf. p.14, par.2 and claims 1,8,18-20).

D2 discloses an inorganic pillared clay composite. Its preparation involves an organic precursor which, in contradiction to Claim 1, does not appear to form a matrix (cf. example 2, claims 1,7,8,13).

**2 Inventive Step (Article 33(3) PCT)**

The document D1 is regarded as being the closest prior art to the subject-matter of claims 1 and 6. The subject-matter of claims 1 and 6 differs from this in that the polymer matrix has been subjected to carbonisation. As a technical effect, process advantages may be concluded from the discussion in the present Description (see e.g. p.1, 3rd par.). None of D1 and/or D2 suggest the present solution to the skilled person.

Present Claims 1 and 6 thus appear to involve Inventive Step.